

11696 U.S. PTO  
041204

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Harald Hagen et al.

Appln. No.: Unknown

Filed: Herewith

For: Connector Assembly

Attorney Docket No: 11138-011

Examiner: Unknown

Art Unit: Unknown

22141 U.S. PTO  
10/822570  
041204

MS Patent Application  
Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

Attached is/are:

- X Utility Application in the German Language
- X Utility Patent Application Transmittal
- X Six Sheets of Drawings
- X Unsigned Declaration/Power of Attorney
- X Check in the Amount of \$1,258.00
- X Return Receipt Postcard

### Fee calculation and payment:

- ☐ No additional fee is required.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

				Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 43=		x \$86=	
First Presentation of Multiple Dep. Claim					+ \$145=		+ \$290=	
					Total	\$	Total	\$

### Fee calculation and payment:

- ☒ A check in the amount of \$1,258.00 to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

April 12, 2004

Date

Steven L. Oberholtzer, Esq. (Reg. No. 30, 670)  
Attorney/Agent for Applicant

### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail Service, Express Mail Label No. EV 339727061 US addressed to: MS Patent Application, Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: April 12, 2004

Name: M. Collins

Signature: *M. Collins*

BRINKS  
HOFFER  
GILSON  
& LIONE

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610

11696 U.S. PTO  
041204

In re Application of: Harald Hagen et al.  
For: Connector Assembly  
Attorney Docket No: 11138-011  
Express Mail" mailing label number: EV 339727061 US  
Date of Deposit: April 12, 2004

BRINKS  
HOFER  
GILSON  
& LIONE

UTILITY PATENT APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith is:

- X A new application under 37 C.F.R. §1.53(b).  
☐ A ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP) under 37 C.F.R. §1.53(b) of prior application no. \_\_\_\_\_.  
Prior application information: Examiner: \_\_\_\_\_ Art Unit: \_\_\_\_\_  
☐ Maintenance of copendency of prior application: A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until \_\_\_\_\_.

For Continuation or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as indicated below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The following application elements and other papers are attached:

1. Application including: ☐ Application Data Sheet. See 37 CFR § 1.76.  
☐ Title page  
☒ Specification, including claims and Abstract (36 pages)  
X Drawings (6 sheet(s))  
☐ Appendices:  
☒ Declaration or X Unsigned Combined Declaration and Power of Attorney (5 pages):  
☐ newly-executed (original or copy)  
☐ copy from a prior application (37 CFR §1.63(d))  
☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR §1.53(d)(4). Please DELETE the following inventor(s) named in prior nonprovisional application no: \_\_\_\_\_:  
\_\_\_\_\_  
2. ☐ Small Entity Status:  
☐ Applicant hereby asserts entitlement to claim small entity status under 37 CFR §§ 1.9 and 1.27.  
☐ A small entity statement or assertion of entitlement to claim small entity status was filed in prior application no. \_\_\_\_\_ / \_\_\_\_\_ and such status is still proper and desired.  
☐ Is no longer desired.

3. Fee calculation (after entry of any preliminary amendment(s) in Item #7 below):

Claims as Filed	Col. 1	Col. 2	Small Entity			Not a Small Entity		
For	No. Filed	No. Extra	Rate	Fee	or	Rate	Fee	
Basic Fee				\$ 385	or		\$ 770	
Total Claims	31-20	11	x\$9=	\$	or	11x\$18=	\$198	
Independent Claims	1-3		x\$43=	\$	or	0x\$86=	\$0	
Multiple Dependent Claims Present			+\$145=	\$	or	+\$290=	\$290	
*If the difference in col. 1 is less than zero, enter "0" in col. 2.			Total	\$	or	Total	\$1,258	

## 4. Fee payment :

- ☒ A check in the amount of \$1,258.00 to cover the filing fee is enclosed.
- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The Director is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925.
- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).
5. ☐ Certified copy of priority document(s)
- ☐ is attached or ☐ has been filed in prior application no. \_\_\_\_\_ / \_\_\_\_\_.
6. ☐ English Translation Document
- ☐ is attached or ☐ has been filed in prior application no. \_\_\_\_\_ / \_\_\_\_\_.
7. ☐ Preliminary Amendment:
- ☐ A Preliminary Amendment is attached (*note*: related application data required under 37 CFR § 1.78, if any, appears in the Amendments to the Specification section of the Preliminary Amendment, including incorporations by reference).
- ☐ A "Petition to Suspend Prosecution For the Time Necessary to File an Amendment (New Application Filed Concurrently)" is attached.
8. ☐ Information Disclosure Statement, including Form PTO-1449 (\_\_\_\_\_ sheets) and copies of references cited, if required.
9. ☐ An Assignment to \_\_\_\_\_:
- ☐ with accompanying Assignment Recordation Cover Sheet, is attached.
- ☐ was previously recorded on \_\_\_\_\_ at Reel \_\_\_\_\_, Frame \_\_\_\_\_.
10. ☐ Power of Attorney (\_\_\_\_\_ pages; ☐ by inventor ☐ by Assignee identified in item #9 above)
11. ☐ Nonpublication Request under 35 USC § 122(b)(2)(B)(i)
12. ☐ Other: \_\_\_\_\_
13. ☒ Return Postcard
14. The power of attorney in the prior application is to: \_\_\_\_\_ (Reg. No. \_\_\_\_\_).
- ☐ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers in the prior application, but was filed on: \_\_\_\_\_
- ☐ A new power has been executed and is attached.
15. CORRESPONDENCE ADDRESS: please recognize the correspondence address for this application as the address associated with the following Customer Number:

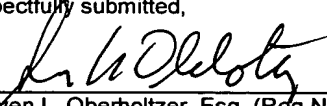
**Customer No. 00757 - Brinks Hofer Gilson Lione**

## 16. PLEASE DIRECT all telephonic communications to:

Steven L. Oberholtzer, Esq. (tel: (734) 302-6000).

Respectfully submitted,

April 12, 2004  
Date

  
Steven L. Oberholtzer, Esq. (Reg. No. 30,670)  
Customer No. 00757 - Brinks Hofer Gilson Lione